2017 Compliance Conference
November 14-15, 2017

The Iowa Bankers Association is proud to present the 2017 Compliance Conference, BRAVING RAPID CHANGE. Our opening keynote speaker Kathy Pearson is a systems thinking expert and spoke at the 2016 ABA Regulatory Compliance Conference. As Kathy will tell us, today’s business environment is in a permanent “white-water” condition. This is especially true for the area of compliance, where regulations are changing on a daily basis. This conference will give you the skills to manage any rapid change that comes your way and make sure you stay afloat!

Who Should Attend
This conference has been specifically developed for experienced compliance professionals who require the most up-to-date information affecting regulatory compliance. Attendees should have a working knowledge of compliance and applicable regulations.

Questions?
Contact Jill Olsen at the IBA at (515) 286-4375 or jolsen@iowabankers.com

Location & Accommodations
The Meadows Events and Conference Center
1 Prairie Meadows Drive, Altoona, IA 50009

Room Rate: Single & Double $107
Reserve rooms directly with the hotel no later than 10/20/2017. After October 20, reservations will be based on availability. For reservations call (515) 957-3000 and ask for the Iowa Bankers room block to receive conference rates. You can also book online at prairiemeadows.com using group code 11142017IBA.

Registration
Conference registration includes all general sessions, continental breakfasts, lunches, breaks, reception and all hand-out materials. Use the application provided below to lock in your registration or visit www.ibacomplianceconference.com to register and view the agenda, speaker bios and more.

Bank Name
Address
City, State, Zip
Phone

1. Registrant
   E-Mail
   Are you a CRCM? Yes No

2. Registrant
   E-Mail
   Are you a CRCM? Yes No

Bank Primary Regulator: Bank Assets: $
Tuesday, November 14
8:30am – 9:00am Registration & Continental Breakfast
9:00am - 9:15am Welcome & Introductions
9:15am – 10:45am Managing Through Uncertainty: The Need for Strategic Agility
Kathy Pearson, Enterprise Learning Solutions, Inc.
Today's business environment is no longer described as having an "ebb and flow", but rather a permanent "whitewater" condition. This increased speed of change has caused a movement from discrete-event strategic planning to more dynamic planning – the quarterly strategy "refresh", for example. However, many organizations struggle with the ability to stay nimble to respond to rapid market changes because of a variety of factors. This session presents this challenge in the context of balancing short-term versus long-term objectives, provides the perspective of senior leaders, and explores the role of compliance leaders in addressing the challenge.
10:45am - 11:00am Break
11:00am - 12:00pm Ace Your Next Compliance Exam Representatives from FDIC, OCC, DRB and CFPB (Invited)
Hear tips on having a successful compliance exam straight from the regulators. This session will focus on the exam process. What can you do to make it easier and help yourself? What do examiners wish your bank would do?
12:00pm - 1:00pm Networking Lunch
1:00pm - 2:15pm Breakout Sessions
1. Here comes HMDA!
Dave Dickinson, Bankers Compliance Consulting
Are you prepared for the 2018 HMDA changes? Learn about the 2018 HMDA bank and transaction coverage requirements and receive guidance on bank coverage changes, dwelling definitions, mixed use properties, covered and NOT covered transactions and much more!

2. How to Build a Compliance Management Program
Julie Gliha, Iowa Bankers Association & Banker Panel
Hear best practices on how to create or improve on your compliance management program. Your peers will share their experiences with succession planning, committee vs. individual management and what to report to the board from a perspective that banks of all sizes can relate to.

3. Implementing the New Prepaid Account Rules
Don Blaine, Jack’s Compliance Resource
Prepaid accounts are among the fastest growing consumer financial products in the U.S. Do the new regulations apply to your bank? This session will cover the requirements of the new rule including which bank products are covered, exemptions and content and timing requirements.

2:15pm - 3:30pm Breakout Sessions Repeat
3:45pm - 4:00pm Break

Tuesday, November 14 (continued)
4:00pm - 5:30pm Fresh Look at Fair Lending
Carl Pry, Trellant Risk Advisers
Will your financial institution pass the fair lending test at your next exam? This session will cover the new expectations for your program, including how the new HMDA rules impact fair lending.

Reception
Join us for hors d’oeuvres and beverages while networking with your fellow compliance experts.

Wednesday, November 15
7:30am – 8:00am Continental Breakfast
8:00am – 8:30am Legislative Update
TBD, Iowa Bankers Association
TBD will summarize this year's legislative session and discuss the legislative issues that will affect banks and compliance in the coming year.

8:30am – 10:00am Understanding the New Beneficial Ownership Rules
Don Blaine, Jack’s Compliance Resource
Make sure you’re prepared for the new beneficial ownership rules! We’ll help you understand how to define a legal entity customer, who is exempt, how to document your findings and what type of ongoing monitoring is necessary under the new rules.
10:00am - 10:15am Break
10:15am - 11:45am TRID
Jerod Moyer, Bankers Compliance Consulting
11:45am - 12:30pm Lunch
12:30pm - 1:45pm Cybersecurity TBD
1:45pm - 2:00pm Break
2:00pm - 3:30pm IBA Regulatory Update & Recap
Julie and Ronette will give you tips on how to use your Iowa Bankers Association compliance community to stay afloat in our rapidly changing industry. They will discuss the many tools and resources available to IBA members as well as give an overview of the regulatory changes of the past year and a brief look at what 2017 will bring. Our IBA Analysts will discuss hot topics and the most asked questions from the hotline and answer any questions that may have come up throughout the conference.